

# Holographic Reduced Representations

CS 135 - Brandeis University  
Fall 2024  
James Pustejovsky

November 22, 2024

Static and Contextualized Vector Composition

Holographic Reduced Representations (HRR)

Modeling GL Semantics with HRRs

# Introduction

We explore three methods to represent sentences as vectors:

- ▶ Conventional vector composition
- ▶ Transformer-based contextualized embeddings
- ▶ Holographic Reduced Representations (HRRs)

We explore some semantic problems:

- ▶ Nouns as Vectors / Adjectives as Matrices
- ▶ Generative Lexicon encoded as Vector Binding
- ▶ Type Coercion in Vector Semantics

# What Are One-Hot Vectors?

- ▶ A one-hot vector is a binary vector used to represent categorical data.
- ▶ For a vocabulary of size  $V$ , each word is assigned a unique index  $i$  where:

$$\mathbf{v}_i = [0, 0, \dots, 1, \dots, 0]$$

- ▶ Example:
  - ▶ Vocabulary: ["cat", "dog", "fish"]
  - ▶ "cat"  $\rightarrow$  [1, 0, 0], "dog"  $\rightarrow$  [0, 1, 0], "fish"  $\rightarrow$  [0, 0, 1]

## Limitations of One-Hot Vectors

- ▶ High Dimensionality:

$$\text{Dimension of vector} = V$$

For large vocabularies ( $V > 100,000$ ), the vectors become inefficient.

- ▶ Lack of Semantic Information:
  - ▶ No similarity between "cat" and "dog".
  - ▶ All vectors are orthogonal.
- ▶ Solution: Use Word2Vec to map one-hot vectors into dense, low-dimensional embeddings.

# From One-Hot Vectors to Word Embeddings (Word2Vec)

Word2Vec learns dense vector representations for words by analyzing their context in a corpus.

- ▶ Input: One-hot vector for each word.
- ▶ Output: Dense, low-dimensional embedding ( $\mathbf{w} \in \mathbb{R}^d$ ).

## Key Idea: Distributional Hypothesis

Words that appear in similar contexts have similar meanings.

Two training methods:

- ▶ Skip-gram: Predict context words from a target word.
- ▶ CBOW (Continuous Bag of Words): Predict a target word from context words.

## Example: Word2Vec Conversion

- ▶ Vocabulary: ["cat", "dog", "fish"]
- ▶ One-hot vectors:

$$\text{"cat"} = [1, 0, 0], \quad \text{"dog"} = [0, 1, 0], \quad \text{"fish"} = [0, 0, 1]$$

- ▶ Dense embeddings (Word2Vec output):

$$\text{"cat"} = [0.5, 0.1, 0.3], \quad \text{"dog"} = [0.4, 0.2, 0.5], \quad \text{"fish"} = [0.3, 0.8, 0.2]$$

- ▶ These embeddings capture semantic similarity:

$$\text{Similarity}(\text{"cat"}, \text{"dog"}) > \text{Similarity}(\text{"cat"}, \text{"fish"})$$

# What is Skip-gram?

- ▶ Word2Vec learns dense vector representations for words by predicting their context in a corpus.
- ▶ Skip-gram Model:
  - ▶ Predicts context words ( $w_c$ ) given a target word ( $w_t$ ).
  - ▶ Objective: Maximize the probability of context words given the target word:

$$P(w_c|w_t)$$

- ▶ Embedding Space:
  - ▶ Each word is mapped to a dense vector ( $d \sim 100 - 300$ ).
  - ▶ Vectors capture semantic similarity (e.g., "king" and "queen").



# Details of the Skip-gram Model

## Objective Function

For a given corpus, the Skip-gram model maximizes the conditional probability:

$$\prod_{t=1}^T \prod_{-c \leq j \leq c, j \neq 0} P(w_{t+j} | w_t)$$

where:

- ▶  $w_t$ : Target word.
- ▶  $w_{t+j}$ : Context words within a window of size  $c$ .

# Details of the Skip-gram Model

## Log-Likelihood

Taking the logarithm, the objective becomes:

$$\mathcal{L} = \sum_{t=1}^T \sum_{-c \leq j \leq c, j \neq 0} \log P(w_{t+j} | w_t)$$

## Conditional Probability

The probability  $P(w_{t+j} | w_t)$  is modeled using softmax:

$$P(w_{t+j} | w_t) = \frac{\exp(\mathbf{u}_{w_{t+j}}^\top \mathbf{v}_{w_t})}{\sum_{w \in V} \exp(\mathbf{u}_w^\top \mathbf{v}_{w_t})}$$

- ▶  $\mathbf{v}_{w_t}$ : Embedding for the target word.
- ▶  $\mathbf{u}_{w_{t+j}}$ : Embedding for the context word.

## Skip-gram Training Steps

1. Initialize two embedding matrices:
  - ▶  $W$  (target embeddings):  $|V| \times d$
  - ▶  $W'$  (context embeddings):  $|V| \times d$
2. For each target word  $w_t$ :
  - ▶ Predict each context word  $w_c$  in the window  $[-c, c]$ .
3. Compute the loss (negative log-likelihood):

$$\mathcal{L} = -\log P(w_c | w_t)$$

4. Update  $W$  and  $W'$  using stochastic gradient descent (SGD).

## Computing Gradients for Skip-gram

For a single pair  $(w_t, w_c)$ , the loss is:

$$\mathcal{L} = -\log P(w_c|w_t)$$

Gradient for Target Embedding ( $\mathbf{v}_{w_t}$ )

$$\frac{\partial \mathcal{L}}{\partial \mathbf{v}_{w_t}} = \mathbf{u}_{w_c} - \sum_{w \in V} P(w|w_t) \mathbf{u}_w$$

Gradient for Context Embedding ( $\mathbf{u}_{w_c}$ )

$$\frac{\partial \mathcal{L}}{\partial \mathbf{u}_{w_c}} = \mathbf{v}_{w_t} - \sum_{w \in V} P(w|w_t) \mathbf{v}_w$$

The gradients are used to update the embeddings via SGD.

## Negative Sampling: Reducing Computation

- ▶ Problem: Softmax requires summing over all words in the vocabulary ( $|V|$ ).
- ▶ Solution: Use Negative Sampling to approximate softmax.

$$\log P(w_c | w_t) \approx \log \sigma(\mathbf{u}_{w_c}^\top \mathbf{v}_{w_t}) + \sum_{i=1}^k \mathbb{E}_{w \sim P_n(w)} \left[ \log \sigma(-\mathbf{u}_w^\top \mathbf{v}_{w_t}) \right]$$

- ▶  $P_n(w)$ : Noise distribution for negative samples.
- ▶  $k$ : Number of negative samples per positive pair.

### Advantages

- ▶ Reduces computation from  $O(|V|)$  to  $O(k)$ .
- ▶ Focuses on distinguishing the target-context pairs from random noise.

## Worked Example: Skip-gram Training Step

Consider a toy vocabulary:  $V = \{\text{cat}, \text{dog}, \text{fish}\}$ .

- ▶ Target word:  $w_t = \text{cat}$ .
- ▶ Context words:  $w_c \in \{\text{dog}, \text{fish}\}$ .
- ▶ Embedding dimension:  $d = 2$ .
- ▶ Initialize embeddings:

$$\mathbf{v}_{\text{cat}} = [0.1, 0.3], \quad \mathbf{u}_{\text{dog}} = [0.2, 0.4], \quad \mathbf{u}_{\text{fish}} = [0.3, 0.1]$$

Compute  $P(w_c | w_t)$  for  $w_c = \text{dog}$ :

$$P(w_c | w_t) = \frac{\exp(\mathbf{u}_{\text{dog}}^\top \mathbf{v}_{\text{cat}})}{\sum_{w \in V} \exp(\mathbf{u}_w^\top \mathbf{v}_{\text{cat}})}$$

Numerator:  $\mathbf{u}_{\text{dog}}^\top \mathbf{v}_{\text{cat}} = (0.2)(0.1) + (0.4)(0.3) = 0.14$

Denominator:  $\text{Sum} = \exp(0.14) + \exp(0.11) + \exp(0.03)$

Result:  $P(\text{dog} | \text{cat}) = \frac{\exp(0.14)}{\exp(0.14) + \exp(0.11) + \exp(0.03)} = \dots$

## Final Word Embeddings

- ▶ After training, each word has two embeddings:
  - ▶  $\mathbf{v}_{w_t}$ : Represents the word as a target.
  - ▶  $\mathbf{u}_{w_c}$ : Represents the word as a context.
- ▶ Combine these embeddings (e.g., by averaging) to create the final word vector:
$$\mathbf{w} = \frac{\mathbf{v}_{w_t} + \mathbf{u}_{w_c}}{2}$$
- ▶ These embeddings capture semantic similarity and are used in downstream tasks.

## Using Word2Vec for Analogical Reasoning

- ▶ Analogical reasoning involves finding relationships between pairs of words or concepts.

"king is to queen as man is to woman."

- ▶ Represented mathematically as:

$$\mathbf{king} - \mathbf{man} \approx \mathbf{queen} - \mathbf{woman}.$$

- ▶ Captures relational patterns in vector space.



# Latent Semantic Analysis (LSA)

- ▶ LSA uses singular value decomposition (SVD) to reduce the dimensionality of term-document matrices.
- ▶ Represents words and documents as vectors in a semantic space:

$$\mathbf{M} \in \mathbb{R}^{|V| \times |D|} \rightarrow \mathbf{M}_{\text{reduced}} \in \mathbb{R}^{|V| \times k}.$$

- ▶ Captures semantic relationships:

cosine similarity between word vectors reflects semantic similarity.

## Limitations

- ▶ LSA focuses on co-occurrence, not relational patterns.
- ▶ Cannot explicitly represent analogies.

# The Parallelogram Hypothesis

- ▶ Hypothesis: Analogical reasoning can be represented as geometric relationships in vector space.

- ▶ Example:

$$\mathbf{king} - \mathbf{man} + \mathbf{woman} \approx \mathbf{queen}.$$

- ▶ Geometric Interpretation:
  - ▶ The vector from **man** to **king** is parallel to the vector from **woman** to **queen**.
- ▶ Visualized as a parallelogram:  
Given three points, solve for the fourth:

$$\mathbf{queen} = \mathbf{king} - \mathbf{man} + \mathbf{woman}.$$

## How Word2Vec Derives Analogies

- ▶ Word2Vec learns dense word embeddings that capture semantic and syntactic relationships.
- ▶ Relationships are encoded in the directions between vectors.
- ▶ Analogy-solving formula:

$$\mathbf{w}_4 = \arg \max_{\mathbf{w} \in V} \cos(\mathbf{w}, \mathbf{w}_2 - \mathbf{w}_1 + \mathbf{w}_3),$$

where:

- ▶  $\mathbf{w}_1 = \text{man}$ ,  $\mathbf{w}_2 = \text{king}$ ,  $\mathbf{w}_3 = \text{woman}$ ,
- ▶  $\mathbf{w}_4 = \text{queen}$ .

## Worked Example: Word2Vec Analogy

Example: Solve "king is to queen as man is to woman":

- ▶ Vectors:

$$\mathbf{king} = [0.8, 0.6], \quad \mathbf{queen} = [0.9, 0.7],$$

$$\mathbf{man} = [0.2, 0.4], \quad \mathbf{woman} = [0.3, 0.5].$$

- ▶ Compute:

$$\mathbf{queen} = \mathbf{king} - \mathbf{man} + \mathbf{woman}.$$

$$\mathbf{queen} = [0.8, 0.6] - [0.2, 0.4] + [0.3, 0.5].$$

Result:

$$\mathbf{queen} = [0.9, 0.7].$$



# Why Does Word2Vec Work?

- ▶ Co-occurrence Modeling:
  - ▶ Word2Vec captures context relationships via training on skip-grams.
- ▶ Semantic Directionality:
  - ▶ Embeddings encode directional relationships (e.g., gender, tense).
- ▶ Vector Arithmetic:
  - ▶ The geometry of word embeddings allows analogical reasoning through addition and subtraction.

## What Word2Vec Does Not Explain

- ▶ Syntax-Semantics Interface:
  - ▶ Analogies focus on semantics; no explicit representation of syntactic structure.
- ▶ Complex Analogies:
  - ▶ Cannot handle multi-step or hierarchical relationships.
- ▶ Context Dependence:
  - ▶ Word2Vec embeddings are static, ignoring polysemy and contextual nuances.
- ▶ Empirical Limitations:
  - ▶ Only works well for analogies seen in training or closely related domains.

## Key Takeaways

- ▶ Analogical reasoning is a fundamental capability of word embeddings like Word2Vec.
- ▶ The parallelogram hypothesis explains how analogies are geometrically encoded in vector space.
- ▶ Limitations:
  - ▶ Word2Vec does not capture syntax or hierarchical relationships.
  - ▶ Contextualized embeddings (e.g., BERT) address some limitations but are less interpretable.
- ▶ Analogical reasoning with vectors demonstrates the power and constraints of distributional semantics.



# Transformer-Based Contextualized Embeddings

## Overview of Self-Attention in Transformers

- ▶ Self-attention computes relationships between tokens in a sentence.
- ▶ Outputs contextualized representations for each token.

### Self-Attention Formula

For query ( $Q$ ), key ( $K$ ), and value ( $V$ ) matrices:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right) V$$

- ▶  $Q, K, V \in \mathbb{R}^{n \times d_k}$ , where  $n$  is the number of tokens and  $d_k$  is the embedding size.
- ▶ Each token generates its own query, key, and value vectors.

## Step 1: Input to Embeddings

Given a sentence:

"The bank will not approve the loan."

- ▶ Tokens: [The, bank, will, not, approve, the, loan].
- ▶ Embedding dimension:  $d_k = 4$  (for simplicity).

Token embeddings (random initialization for this example):

$$\mathbf{x}_1 = [1, 0, 1, 0], \mathbf{x}_2 = [0, 1, 0, 1], \dots$$

## Step 2: Compute Query, Key, and Value Matrices

Each token embedding is projected into query, key, and value spaces using learned weight matrices:

$$Q = XW_Q, \quad K = XW_K, \quad V = XW_V$$

Example weights ( $W_Q, W_K, W_V \in \mathbb{R}^{d_k \times d_k}$ ):

$$W_Q = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix}, \quad W_K = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix}, \dots$$

For token 1 ( $\mathbf{x}_1 = [1, 0, 1, 0]$ ):

$$Q_1 = \mathbf{x}_1 W_Q = [1, 0, 1, 0] \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \end{bmatrix} = [2, 0, 1, 0]$$

## Step 3: Compute Attention Scores

Compute scaled dot-product attention:

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right) V$$

For  $Q_1$  and  $K_2$ :

$$Q_1 \cdot K_2 = [2, 0, 1, 0] \cdot [0, 1, 0, 1] = 0$$

Attention scores matrix:

$$\text{Scores}_{i,j} = \frac{Q_i \cdot K_j}{\sqrt{d_k}}, \quad i, j \in \{1, \dots, n\}$$

Normalize scores using softmax:

$$\text{softmax}(z_i) = \frac{\exp(z_i)}{\sum_j \exp(z_j)}$$

## Step 4: Compute Weighted Values

For token 1:

$$\text{Attention}(Q_1, K, V) = \text{softmax}\left(\frac{Q_1 K^T}{\sqrt{d_k}}\right) V$$

Example:

$$\text{Scores}_1 = \text{softmax}\left(\frac{[0, 1, 2]}{\sqrt{4}}\right) = [0.04, 0.11, 0.85]$$

Use scores to compute weighted sum:

$$\mathbf{z}_1 = \sum_{j=1}^n \text{Scores}_{1,j} \cdot V_j$$

## Worked Example: Final Contextualized Embeddings

For each token  $i$ , compute:

$$\mathbf{z}_i = \sum_{j=1}^n \text{Attention}(Q_i, K_j, V_j)$$

Result:

$$Z = \begin{bmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \\ \vdots \\ \mathbf{z}_n \end{bmatrix}, \quad Z \in \mathbb{R}^{n \times d_k}$$

Aggregated sentence embedding:

$$\mathbf{S}_{\text{Transformer}} = \text{Mean}(Z)$$

or use special token [CLS].

# Motivation for Hypervectors and Hyperdimensional Computing

- ▶ Hypervectors: High-dimensional vectors ( $d \gg 1000$ ) used to represent information in a distributed manner.
- ▶ Inspired by the properties of the brain:
  - ▶ Robustness to noise.
  - ▶ Ability to store and retrieve large amounts of information.
- ▶ Key idea:  
Complex structures can be represented as combinations of simple high-dimensional vectors.

# What is a Hyperdimensional Vector Space?

- ▶ A hyperdimensional vector space is a high-dimensional space ( $d \gg 1000$ ) used to represent information.
- ▶ Hypervectors ( $\mathbf{v} \in \mathbb{R}^d$ ):
  - ▶ Randomly initialized.
  - ▶ High dimensionality ensures approximate orthogonality between vectors.

## Properties of High-Dimensional Spaces

- ▶ Orthogonality:

For random vectors  $\mathbf{v}, \mathbf{w} \in \mathbb{R}^d$  :  $\mathbf{v} \cdot \mathbf{w} \approx 0$  (if  $\mathbf{v} \neq \mathbf{w}$ ).

- ▶ Stability:

$\|\mathbf{v}_1 + \mathbf{v}_2 + \dots + \mathbf{v}_n\|$  grows with  $n$ .

- ▶ Capacity:

Large spaces can encode exponentially many distinct patterns.



# How do Hyperdimensional Spaces Encode Patterns''

- ▶ Key Property: In a hyperdimensional space  $\mathbb{R}^d$  with  $d \gg 1000$ :

Number of distinct patterns is exponential in  $d$ .

- ▶ Intuition:
  - ▶ A random hypervector  $\mathbf{v} \in \mathbb{R}^d$  has  $d$  components.
  - ▶ Each component can take on many possible values (e.g.,  $[-1, 1]$  for bipolar vectors or  $\mathbb{R}$  for real-valued vectors).
- ▶ Mathematical Argument:
  - ▶ Consider  $d$ -dimensional binary vectors  $\{0, 1\}^d$ :

Total number of distinct vectors:  $2^d$ .

- ▶ For real-valued or bipolar vectors, the number of distinct patterns grows even faster.

## Geometric Perspective: Orthogonality in High Dimensions

- ▶ High-dimensional spaces have the property that random vectors are nearly orthogonal:

$$\mathbf{v}_1 \cdot \mathbf{v}_2 \approx 0, \quad \text{if } \mathbf{v}_1, \mathbf{v}_2 \text{ are random.}$$

- ▶ Implication:
  - ▶ You can generate exponentially many random hypervectors that are distinguishable (linearly independent or approximately orthogonal).
- ▶ Example:
  - ▶ In  $\mathbb{R}^{10,000}$ , billions of random vectors will have dot products close to zero.

# Superposition and Binding in Hyperdimensional Spaces

- ▶ Superposition (addition):

$$\mathbf{v} = \mathbf{v}_1 + \mathbf{v}_2 + \cdots + \mathbf{v}_n.$$

- ▶ Even with  $n \gg 1$ , the resulting vector is still distinguishable due to high dimensionality.
- ▶ Binding (e.g., circular convolution):

$$\mathbf{c} = \mathbf{a} \circledast \mathbf{b}.$$

- ▶ Each binding operation produces a new, distinguishable pattern.
- ▶ Exponentially Growing Combinations:
  - ▶  $n$  hypervectors can generate:

$2^n$  combinations via binding and superposition.

## Worked Example: Exponential Growth of Patterns

Consider  $d = 10,000$  and binary vectors  $\{0, 1\}^d$ :

- ▶ Total possible distinct vectors:

$2^{10,000}$  (an astronomically large number).

- ▶ Now allow for superposition and binding:
  - ▶ Superposition combines  $n$  vectors into a unique vector.
  - ▶ Binding generates entirely new patterns:

$\mathbf{a} \circledast \mathbf{b}$  is unique for any  $\mathbf{a}, \mathbf{b}$ .

- ▶ Result:  
With high-dimensional vectors, you can encode exponentially many relationships.

# Key Benefits of Exponentially Large Spaces

- ▶ **Robustness:**
  - ▶ Small errors (noise) in the components of hypervectors do not significantly affect overall distinguishability.
- ▶ **Scalability:**
  - ▶ Exponentially large capacity ensures scalability for encoding large vocabularies, complex patterns, and relationships.
- ▶ **Expressiveness:**
  - ▶ Binding and superposition operations allow for compositional representations (e.g., hierarchical structures or analogies).
- ▶ **Similarity Preservation:**
  - ▶ High-dimensional vectors can preserve similarity in the space (e.g., similar words have closer embeddings).

# Comparison: One-Hot Vectors vs. Hypervectors

- ▶ Dimensionality:
  - ▶ One-hot:  $|V|$  (grows with vocabulary size).
  - ▶ Hypervectors:  $d$  (fixed, large dimensionality, e.g.,  $d = 10,000$ ).
- ▶ Orthogonality:
  - ▶ One-hot:
$$\mathbf{v}_i \cdot \mathbf{v}_j = 0 \quad \text{for } i \neq j.$$
  - ▶ Hypervectors:
$$\mathbf{v}_i \cdot \mathbf{v}_j \approx 0 \quad (\text{approximate for random vectors}).$$
- ▶ Representation Power:
  - ▶ One-hot: Only encodes identity.
  - ▶ Hypervectors: Encodes identity, similarity, and relationships.

## Computational Distinctions

- ▶ Storage Requirements:
  - ▶ One-hot: Requires a vector of size  $|V|$  for each token.
  - ▶ Hypervectors: Fixed size  $d$ , independent of vocabulary size.
- ▶ Operations:
  - ▶ One-hot: No meaningful operations (e.g., addition, multiplication).
  - ▶ Hypervectors: Supports binding, superposition, and correlation.

$$\mathbf{a} \otimes \mathbf{b}, \quad \mathbf{a} + \mathbf{b}, \quad \mathbf{a} \otimes \mathbf{b}^{-1}.$$

- ▶ Scalability:
  - ▶ One-hot: Becomes infeasible for large vocabularies ( $|V| \gg 10^6$ ).
  - ▶ Hypervectors: Efficient for large vocabularies due to fixed dimensionality.

# Advantages of Hypervector Encodings

- ▶ Compositionality:

- ▶ Represent relationships through binding:

$$\mathbf{relation} = \mathbf{a} \circledast \mathbf{b}.$$

- ▶ Combine multiple pieces of information:

$$\mathbf{context} = \mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3.$$

- ▶ Noise Tolerance:

- ▶ Small changes to components do not disrupt overall encoding.

- ▶ Similarity Preservation:

- ▶ Similar inputs produce similar hypervectors, enabling clustering and matching.

- ▶ Scalability:

- ▶ Fixed-dimensional encoding handles large vocabularies and complex structures.



## Comparison: One-Hot Vectors vs. Hypervectors

Feature	One-Hot Vectors	Hypervectors
Dimensionality	$ V $ (vocab size)	Fixed $d$ (e.g., 10,000)
Orthogonality	Exact	Approximate
Representation	Identity only	Identity + relationships
Operations	None	Binding, superposition, correlation
Storage	Large for large $ V $	Fixed-size
Scalability	Limited	High

## Why Orthonormality is Important

- ▶ In high-dimensional spaces, random hypervectors are approximately orthonormal:

$$\mathbf{v} \cdot \mathbf{w} \approx 0, \quad \|\mathbf{v}\| = \|\mathbf{w}\| = 1.$$

- ▶ Key Implication: Vectors do not interfere with each other in superposition or binding.
  - ▶ Superposition: Combines multiple vectors while keeping them distinguishable.

$$\mathbf{s} = \mathbf{v}_1 + \mathbf{v}_2 + \cdots + \mathbf{v}_n$$

- ▶ Binding: Combines vectors into unique encodings using circular convolution.

$$\mathbf{b} = \mathbf{v}_1 \circledast \mathbf{v}_2$$

## Circular Convolution: Binding Vectors

Circular convolution is defined as:

$$(\mathbf{a} \circledast \mathbf{b})_i = \sum_{j=0}^{d-1} a_j \cdot b_{(i-j) \bmod d}$$

### Properties of Circular Convolution

- ▶ Dimensionality:

$$\mathbf{a} \circledast \mathbf{b} \in \mathbb{R}^d$$

- ▶ Uniqueness: Produces a distinct vector for each pair of inputs.
- ▶ Approximate Inverse:

$$\mathbf{a} \circledast \mathbf{b} \circledast \mathbf{b}^{-1} \approx \mathbf{a}$$

## Correlation: Unbinding Vectors

Circular correlation retrieves one vector from a bound pair:

$$(\mathbf{a} \circledast \mathbf{b}) \circledast \mathbf{b}^{-1} \approx \mathbf{a}.$$

### Definition of Circular Correlation

Circular correlation is defined as:

$$(\mathbf{c} \circledast \mathbf{b}^{-1})_i = \sum_{j=0}^{d-1} c_j \cdot b_{(j-i) \bmod d}.$$

### Key Insights

- ▶ Uses the approximate orthonormality of random vectors.
- ▶ Recovers the original vector when the bound pair is unbound.

## Approximate Orthogonality in High Dimensions

For two random hypervectors  $\mathbf{v}, \mathbf{w} \in \mathbb{R}^d$ :

$$\mathbf{v} \cdot \mathbf{w} = \sum_{i=1}^d v_i w_i.$$

If  $\mathbf{v}_i$  and  $\mathbf{w}_i$  are independent and zero-mean:

- ▶ Expected value:

$$\mathbb{E}[\mathbf{v} \cdot \mathbf{w}] = 0.$$

- ▶ Variance decreases with dimensionality:

$$\text{Var}[\mathbf{v} \cdot \mathbf{w}] = O\left(\frac{1}{d}\right).$$

For large  $d$ , the dot product is negligibly small:

$$\mathbf{v} \cdot \mathbf{w} \approx 0.$$

## Implications for Encoding in NLP

- ▶ Superposition: Adding hypervectors preserves distinguishability:

$$\mathbf{s} = \mathbf{v}_1 + \mathbf{v}_2 \quad \Rightarrow \quad \mathbf{s} \cdot \mathbf{v}_1 \gg 0.$$

- ▶ Binding: Convolution produces unique encodings:

$$\mathbf{b} = \mathbf{v}_1 \circledast \mathbf{v}_2.$$

Since  $\mathbf{v}_1 \cdot \mathbf{v}_2 \approx 0$ , the result is not confounded by interference.

- ▶ Unbinding: Correlation retrieves components reliably:

$$(\mathbf{b} \circledast \mathbf{v}_2^{-1}) \approx \mathbf{v}_1.$$

## Example: Semantic Role Binding

Represent the sentence "The dog chased the ball":

- ▶ Words: **dog, chased, ball.**
- ▶ Roles: **subject, verb, object.**

Encoding:

$$\mathbf{S} = (\mathbf{dog} \otimes \mathbf{subject}) + (\mathbf{chased} \otimes \mathbf{verb}) + (\mathbf{ball} \otimes \mathbf{object}).$$

Retrieval:

- ▶ Retrieve the subject:

$$\mathbf{dog} \approx \mathbf{S} \otimes \mathbf{subject}^{-1}.$$

## Example: Sequential Encoding

Encode "The dog sleeps":

- ▶ Words: **the**, **dog**, **sleeps**.
- ▶ Positional encoding:

$$\mathbf{sequence} = \mathbf{the} + \text{Perm}(\mathbf{dog}) + \text{Perm}^2(\mathbf{sleeps}).$$

Retrieval:

- ▶ Retrieve "dog" by reversing the permutation:

$$\mathbf{dog} \approx \mathbf{sequence} \circledast \text{Perm}^{-1}.$$



## Vector Algebraic Composition Operations

Three primary operations are used in hyperdimensional computing:

- ▶ Superposition (Addition):

$$\mathbf{c} = \mathbf{a} + \mathbf{b}$$

Combines vectors while preserving their individual contributions.

- ▶ Binding (Multiplication or Convolution):

$$\mathbf{c} = \mathbf{a} \circledast \mathbf{b}$$

Creates a unique composite vector that is distinct from the inputs.

- ▶ Permutation:  
Random reordering of vector components to represent positional information.

# Convolution as Binding

## Circular Convolution

The binding operation in HRRs is defined as circular convolution:

$$c_i = \sum_{j=0}^{d-1} a_j \cdot b_{(i-j) \bmod d}$$

Here:

- ▶  $\mathbf{a} = [a_0, a_1, \dots, a_{d-1}]$
- ▶  $\mathbf{b} = [b_0, b_1, \dots, b_{d-1}]$
- ▶ Properties:
  - ▶ Produces a vector of the same dimension  $d$ .
  - ▶ Distributes information of  $\mathbf{a}$  and  $\mathbf{b}$  across  $\mathbf{c}$ .
  - ▶ Approximately invertible.

## Worked Example: Circular Convolution

Given:

$$\mathbf{a} = [1, 2, 3], \quad \mathbf{b} = [0, 1, 0]$$

Compute:

$$c_0 = a_0 \cdot b_0 + a_1 \cdot b_2 + a_2 \cdot b_1 = 1 \cdot 0 + 2 \cdot 0 + 3 \cdot 1 = 3$$

$$c_1 = a_0 \cdot b_1 + a_1 \cdot b_0 + a_2 \cdot b_2 = 1 \cdot 1 + 2 \cdot 0 + 3 \cdot 0 = 1$$

$$c_2 = a_0 \cdot b_2 + a_1 \cdot b_1 + a_2 \cdot b_0 = 1 \cdot 0 + 2 \cdot 1 + 3 \cdot 0 = 2$$

Result:

$$\mathbf{c} = [3, 1, 2]$$

# Deconvolution as Unbinding

## Deconvolution

To retrieve  $\mathbf{a}$  from  $\mathbf{c}$  and  $\mathbf{b}$ , perform circular correlation:

$$a_i = \sum_{j=0}^{d-1} c_j \cdot b_{(i-j) \bmod d}$$

- ▶ Inverse Property:

$$\mathbf{a} \approx \mathbf{c} \circledast \mathbf{b}^{-1}$$

- ▶ Enables retrieval of the original components bound together.

## Summary of HRR Operations

- ▶ Binding (Encoding):

$$\mathbf{binding} = \mathbf{w}_{\text{word}} \otimes \mathbf{r}_{\text{role}}$$

- ▶ Superposition:

$$\mathbf{S}_{\text{HRR}} = \mathbf{S} + \mathbf{V} + \mathbf{Neg} + \mathbf{O}$$

- ▶ Unbinding (Decoding):

$$\mathbf{w}_{\text{word}} \approx \mathbf{S}_{\text{HRR}} \otimes \mathbf{r}_{\text{role}}^{-1}$$

HRRs provide a robust framework for representing and manipulating structured information in high-dimensional spaces.

## Comparison of Methods

A comparison of the three methods:

Feature	Transformer	Conventional	HRR
Context-Sensitivity	High	None	Moderate
Syntactic Structure	Implicit	Ignored	Explicit
Polysemy Handling	Excellent	Poor	Limited
Invertibility	No	No	Yes

## Overview of Baroni & Zamparelli's Theory

- ▶ Nouns: Represented as dense vectors.

$$\mathbf{n} \in \mathbb{R}^d$$

- ▶ Adjectives: Represented as linear transformations (matrices).

$$\mathbf{A} \in \mathbb{R}^{d \times d}$$

- ▶ Modification: Apply the adjective to the noun using matrix-vector multiplication.

$$\text{Modified noun: } \mathbf{n}' = \mathbf{A} \cdot \mathbf{n}$$

## Worked Example: Baroni & Zamparelli's Theory

Let:

▶ Noun:  $\mathbf{n} = [1, 0, 1]^T$

▶ Adjective:  $\mathbf{A} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$

Compute:

$$\mathbf{n}' = \mathbf{A} \cdot \mathbf{n} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

Interpretation:

- ▶ Adjective transforms the noun's semantic space.
- ▶ Matrix captures how adjectives modify meaning (e.g., "red" or "big").



# Strengths and Limitations of Baroni & Zamparelli's Theory

- ▶ Strengths:
  - ▶ Captures compositional semantics via linear transformations.
  - ▶ Allows for a systematic representation of adjective effects.
- ▶ Limitations:
  - ▶ High parameter cost ( $d^2$  parameters per adjective).
  - ▶ Limited interpretability of learned matrices.
  - ▶ Ignores distributed binding (no explicit roles or structure).

## Overview of HRR Approach

- ▶ Nouns: Represented as high-dimensional hypervectors.

$$\mathbf{n} \in \mathbb{R}^d, \quad d \gg 1000$$

- ▶ Adjectives: Represented as hypervectors.

$$\mathbf{a} \in \mathbb{R}^d$$

- ▶ Binding: Adjectives bind to nouns using circular convolution.

$$\mathbf{n}' = \mathbf{a} \circledast \mathbf{n}$$

- ▶ Superposition: Combine multiple adjective-noun pairs.

$$\mathbf{S} = \mathbf{n}'_1 + \mathbf{n}'_2 + \dots$$

## Worked Example: HRR Approach

Let:

- ▶ Noun hypervector:  $\mathbf{n} = [1, 0, 1]$
- ▶ Adjective hypervector:  $\mathbf{a} = [0, 1, 0]$

Compute binding via circular convolution:

$$c_0 = a_0 \cdot n_0 + a_1 \cdot n_2 + a_2 \cdot n_1 = 0 \cdot 1 + 1 \cdot 1 + 0 \cdot 0 = 1$$

$$c_1 = a_0 \cdot n_1 + a_1 \cdot n_0 + a_2 \cdot n_2 = 0 \cdot 0 + 1 \cdot 1 + 0 \cdot 1 = 1$$

$$c_2 = a_0 \cdot n_2 + a_1 \cdot n_1 + a_2 \cdot n_0 = 0 \cdot 1 + 1 \cdot 0 + 0 \cdot 1 = 0$$

Resulting vector:

$$\mathbf{n}' = [1, 1, 0]$$

## Comparison: Baroni & Zamparelli vs. HRR

Feature	Baroni & Zamparelli	HRR
Representation of Adjectives	Matrices ( $d \times d$ )	Hypervectors ( $d$ )
Composition Operation	Matrix-Vector Multiplication	Circular Convolution
Dimensionality	$O(d^2)$ (scaling issue)	Fixed ( $d$ )
Interpretability	Low	Moderate
Flexibility (e.g., roles)	Limited	High (binding and superposition)

## The Role of Telic in Generative Lexicon

- ▶ The Telic role in GL Theory captures the purpose or function of an entity.

Telic(pen) = write-with,    Telic(car) = drive

- ▶ Adjectives modify nouns by binding to specific Qualia roles, including the Telic role.
- ▶ Disambiguation occurs when an adjective aligns with the Telic role of the noun.

### Examples of Telic-Driven Disambiguation

- ▶ "Fast car" → Telic: drive (interpreted as speed when driving).
- ▶ "Good pen" → Telic: write-with (interpreted as quality in writing).
- ▶ "Loud speaker" → Telic: produce-sound (interpreted as volume of sound production).

## Selective Binding with Telic Role

- ▶ Adjective-noun composition involves selective binding:

Adjective  $\otimes$  Telic(Noun)

- ▶ This highlights the Telic role of the noun as the locus of modification.
- ▶ Formalization:

$\mathbf{a} \otimes \mathbf{r}_{\text{Telic}} \otimes \mathbf{n}$

### Interpretation of Adjective Modification

The adjective binds to the Telic role, influencing how the noun is interpreted in context.

"Fast car" = fast  $\otimes$  drive(car)

## HRR Representation of Telic Role

- ▶ Nouns: Represented as hypervectors ( $\mathbf{n}$ ).
- ▶ Adjectives: Represented as hypervectors ( $\mathbf{a}$ ).
- ▶ Telic Role: Represented as a hypervector ( $\mathbf{r}_{\text{Telic}}$ ).
- ▶ Binding: Use circular convolution to encode adjective modification of the Telic role.

$$\mathbf{composition} = \mathbf{a} \circledast \mathbf{r}_{\text{Telic}} \circledast \mathbf{n}$$

- ▶ Superposition: Combine multiple adjective-noun pairs for broader contexts.

## Worked Example: "Fast Car"

Given:

- ▶ Noun: "car" ( $\mathbf{n} = [1, 0, 1]$ ).
- ▶ Adjective: "fast" ( $\mathbf{a} = [0, 1, 0]$ ).
- ▶ Telic Role: "drive" ( $\mathbf{r}_{\text{Telic}} = [1, 1, 0]$ ).

Compute:

$$\mathbf{binding} = \mathbf{a} \otimes \mathbf{r}_{\text{Telic}} \otimes \mathbf{n}$$

Step 1 (Adjective-Telic binding):

$$c_0 = a_0 r_0 + a_1 r_2 + a_2 r_1 = 0 \cdot 1 + 1 \cdot 0 + 0 \cdot 1 = 0$$

Step 2 (Adjective-Telic-Noun binding):

$$c'_0 = c_0 n_0 + c_1 n_2 + c_2 n_1 = \dots$$

Result:

$$\mathbf{binding} = \dots$$

The final vector encodes "fast car" in terms of its Telic role, 



## Worked Example: "Good Pen"

Given:

- ▶ Noun: "pen" ( $\mathbf{n} = [0, 1, 0]$ ).
- ▶ Adjective: "good" ( $\mathbf{a} = [1, 0, 1]$ ).
- ▶ Telic Role: "write-with" ( $\mathbf{r}_{\text{Telic}} = [0, 1, 1]$ ).

Compute:

$$\mathbf{binding} = \mathbf{a} \circledast \mathbf{r}_{\text{Telic}} \circledast \mathbf{n}$$

Result:

$$\mathbf{binding} = \dots$$

This vector captures the modification of "pen" by "good" with respect to the Telic role, emphasizing its quality in writing.

## What is Type Coercion?

- ▶ Type Coercion occurs when a verb's argument requires a type mismatch to be resolved.
- ▶ The mismatched argument is coerced into the required type using its Qualia Structure.

### Example: "Mary enjoyed a coffee"

- ▶ Verb: "enjoy" requires an event as its object.
- ▶ Noun: "a coffee" is an entity, not an event.
- ▶ Coercion: The Telic role of "coffee" provides the event "drink a coffee".

"Mary enjoyed a coffee"  $\Rightarrow$  "Mary enjoyed drinking a coffee"

## Type Coercion Using Qualia Structure

- ▶ The Qualia Structure of "coffee":
  - ▶ Formal: *beverage*.
  - ▶ Constitutive: *made-of-water*.
  - ▶ Telic: *drink*.
  - ▶ Agentive: *brewed*.
- ▶ The Telic role provides the required event for coercion:

$$\text{Telic}(\text{coffee}) = \text{drink}(\text{coffee})$$

### Coercion Process

1. Verb identifies a type mismatch (*entity* vs. *event*). 2. Use the Qualia Structure to resolve the mismatch. 3. Bind the Telic role to the object and recompose:

$$\text{enjoy} \circledast \text{Telic}(\text{coffee}) = \text{enjoy}(\text{drink}(\text{coffee}))$$

# HRR Representation of Coercion

- ▶ Nouns: Represented as hypervectors ( $\mathbf{n}$ ).
- ▶ Telic Role: Represented as a hypervector ( $\mathbf{r}_{\text{Telic}}$ ).
- ▶ Verb: Represented as a hypervector ( $\mathbf{v}_{\text{enjoy}}$ ).
- ▶ Coercion: Bind the verb to the Telic role of the object:

$$\mathbf{composition} = \mathbf{v}_{\text{enjoy}} \otimes \mathbf{r}_{\text{Telic}} \otimes \mathbf{n}_{\text{coffee}}$$

## Worked Example: "Mary Enjoyed a Coffee"

Given:

- ▶ Noun hypervector:  $\mathbf{n}_{\text{coffee}} = [1, 0, 1]$ .
- ▶ Telic Role:  $\mathbf{r}_{\text{Telic}} = [0, 1, 0]$ .
- ▶ Verb:  $\mathbf{v}_{\text{enjoy}} = [1, 1, 0]$ .

Compute coercion:

$$\mathbf{composition} = \mathbf{v}_{\text{enjoy}} \circledast \mathbf{r}_{\text{Telic}} \circledast \mathbf{n}_{\text{coffee}}$$

Step 1 (Verb-Telic binding):

$$c_0 = v_0 r_0 + v_1 r_2 + v_2 r_1 = 1 \cdot 0 + 1 \cdot 0 + 0 \cdot 1 = 0$$

Step 2 (Verb-Telic-Noun binding):

$$c'_0 = c_0 n_0 + c_1 n_2 + c_2 n_1 = \dots$$

Result:

$$\mathbf{composition} = [\dots]$$

This vector encodes the coerced meaning "enjoy drinking a coffee."

# Denotational Semantics and Type-Theoretic Interpretation

- ▶  $\llbracket \text{expression} \rrbracket \rightarrow$  mathematical structure
- ▶ Examples:
  - ▶ Words:  $\llbracket \text{dog} \rrbracket =$  vector in  $\mathbb{R}^d$ .
  - ▶ Sentences:  $\llbracket \text{"Dogs bark"} \rrbracket =$  truth value  $\{0, 1\}$ .
- ▶ In vector-based semantics:
  - ▶ Nouns:  $\llbracket \text{N} \rrbracket : \mathbb{R}^d$
  - ▶ Adjectives:  $\llbracket \text{Adj} \rrbracket : \mathbb{R}^d \rightarrow \mathbb{R}^d$
  - ▶ Verbs:  $\llbracket \text{V} \rrbracket : \mathbb{R}^d \rightarrow \mathbb{R}^d$
- ▶ Sentence composition:

$\llbracket \text{"Dogs bark"} \rrbracket =$  function application or combination of vectors.

## Conventional Vector Composition

- ▶ Words are vectors:  $\llbracket w \rrbracket \in \mathbb{R}^d$ .
- ▶ Composition is performed using vector addition or pointwise multiplication.

### Denotational Semantics

Let  $w_1, w_2 \in \mathbb{R}^d$ , then:

$$\llbracket \text{"fast car"} \rrbracket = \mathbf{v}_{\text{fast}} + \mathbf{v}_{\text{car}}.$$

### Type-Theoretic Interpretation

- ▶ Nouns:  $e : \mathbb{R}^d$
- ▶ Adjectives:  $e \rightarrow e : \mathbb{R}^d \rightarrow \mathbb{R}^d$

Composition:

$$\text{Adj(N)} : \mathbb{R}^d \rightarrow \mathbb{R}^d$$

$$\llbracket \text{"fast"} \rrbracket (\llbracket \text{"car"} \rrbracket) = \mathbf{v}_{\text{fast}} + \mathbf{v}_{\text{car}}.$$

# Baroni and Zamparelli: Adjectives as Matrices

- ▶ Words are assigned different types:
  - ▶ Nouns:  $\mathbb{R}^d$
  - ▶ Adjectives:  $\mathbb{R}^d \rightarrow \mathbb{R}^d$  (matrices)
- ▶ Composition uses matrix-vector multiplication.

## Denotational Semantics

Let:

- ▶  $\mathbf{v}_{\text{car}} \in \mathbb{R}^d$
- ▶  $\mathbf{M}_{\text{fast}} \in \mathbb{R}^{d \times d}$

Then:

$$\llbracket \text{"fast car"} \rrbracket = \mathbf{M}_{\text{fast}} \cdot \mathbf{v}_{\text{car}}.$$



# Baroni and Zamparelli: Adjectives as Matrices

## Type-Theoretic Interpretation

- ▶ Nouns:  $e : \mathbb{R}^d$
- ▶ Adjectives:  $e \rightarrow e : \mathbb{R}^d \rightarrow \mathbb{R}^d$  (linear maps)

Composition:

$$\text{Adj}(N) : \mathbb{R}^d \rightarrow \mathbb{R}^d$$

$$\llbracket \text{"fast"} \rrbracket (\llbracket \text{"car"} \rrbracket) = \mathbf{M}_{\text{fast}} \cdot \mathbf{v}_{\text{car}}$$

# HRR: Binding with Circular Convolution

- ▶ Words and roles are hypervectors ( $\mathbb{R}^d$ ).
- ▶ Binding is performed using circular convolution.

## Denotational Semantics

Let:

- ▶  $\mathbf{v}_{\text{car}}, \mathbf{v}_{\text{fast}} \in \mathbb{R}^d$

Then:  $\llbracket \text{"fast car"} \rrbracket = \mathbf{v}_{\text{fast}} \circledast \mathbf{v}_{\text{car}}$ , where:

$$(\mathbf{v}_{\text{fast}} \circledast \mathbf{v}_{\text{car}})_i = \sum_{j=0}^{d-1} v_{\text{fast},j} \cdot v_{\text{car},(i-j) \bmod d}$$

# HRR: Binding with Circular Convolution

## Type-Theoretic Interpretation

- ▶ Nouns:  $e : \mathbb{R}^d$
- ▶ Adjectives:  $e \rightarrow e : \mathbb{R}^d \rightarrow \mathbb{R}^d$  (convolution operators)

Composition:

$$\text{Adj}(N) : \mathbb{R}^d \rightarrow \mathbb{R}^d$$

$$\llbracket \text{"fast"} \rrbracket (\llbracket \text{"car"} \rrbracket) = \mathbf{v}_{\text{fast}} \circledast \mathbf{v}_{\text{car}}.$$